

**PSE Disclosure Form 17-12-A - List of Top 100 Stockholders (Common Shares)**  
**Reference: Section 17.12 of the Revised Disclosure Rules**

**Type of Securities** PHILEX MINING CORPORATION

Common

<b>For the period ended</b>	SEPTEMBER 30, 2022
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**Description of the Disclosure**

PHILEX MINING CORPORATION TOP 100 STOCKHOLDERS

<b>Number of Issued and Outstanding Common Shares</b>	5,782,399,068
<b>Number of Treasury Common Shares, if any</b>	0
<b>Number of Outstanding Common Shares</b>	5,782,399,068
<b>Number of Listed Common Shares</b>	5,782,399,068
<b>Number of Lodged Common Shares</b>	1,964,021,403
<b>PCD Nominee – Filipino</b>	1,544,942,866
<b>PCD Nominee – Non-Filipino</b>	419,078,537
<b>Number of Certificated Common Shares</b>	3,818,377,665

**Change from previous submission**

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PHILEX MINING CORPORATION  
LIST OF TOP 100 STOCKHOLDERS  
AS OF SEPTEMBER 30, 2022

Rank	Last Name	First Name	Middle Name	Shares (Sum)	Percentage
1	PCD NOMINEE CORPORATION FILIPINO			1,370,580,283	26.72%
2	SOCIAL SECURITY SYSTEM			1,141,395,352	16.72%
3	ASIA LINK B.V.			1,023,275,990	17.70%
4	TWO RIVERS PACIFIC HOLDINGS CORP.			738,871,510	12.78%
5	PCD NOMINEE CORPORATION NON FILIPINO			419,078,537	7.25%
6	MAXELLA LIMITED			239,479,900	4.14%
7	KIRTMAN LIMITED			192,011,062	3.32%
8	ARTINO LIMITED			37,822,400	0.65%
9	THE FIRST NATIONAL INVESTMENT COMPANY INC.			12,195,042	0.21%
10	MAKATI SUPERMARKET CORP.			8,353,226	0.14%
11	ESTATE OF EUDALDO BOIX			5,025,422	0.09%
12	PHILIPPINE REMNANTS CO. INC.			4,875,000	0.08%
13	PANGILUNAN	MANUEL	V.	4,655,000	0.08%
14	CHS CAPITAL HOLDINGS CORP			4,500,000	0.08%
15	PAO	FRANK		3,639,260	0.06%
16	ESTATE OF EUDALDO BOIX & PETRA HERNANDO			3,093,203	0.05%
17	DE UGARTE &/OR ELENA E. DE UGARTE	PAULINO		3,068,143	0.05%
18	BERCK Y. CHENG OR ALVIN Y. CHENG OR DIANA Y. CHENG OR CHERYL Y. CHENG			3,047,500	0.05%
19	REIF	CAROL JOAN		2,974,086	0.05%
20	PETTYFER	ROBIN JOHN		2,644,747	0.05%
21	ESTATE OF JOSE TAN YAN DOO			2,569,251	0.04%
22	SY	VICTOR	G.	2,437,500	0.04%
23	YAN &/OR CLARA YAN	LUCIO	W.	2,437,500	0.04%
24	SKYSIDE DEVELOPMENT CORP.			2,355,291	0.04%
25	ACK CONSTRUCTION INC.			2,339,484	0.04%
26	HANG SENG (NOMINEE) LTD			2,323,205	0.04%
27	PHILIPPINE REMNANTS CO. INC.			2,283,132	0.04%
28	CABATU	ANTONIO		2,205,484	0.04%
29	CAROUSEL HOLDINGS INC.			2,000,034	0.03%
30	SUNTAY	REMEDIOS		1,923,995	0.03%
31	ALPHA SHELTER CORPORATION			1,893,066	0.03%
32	FRANCISCO	RODOLFO	A.	1,719,495	0.03%
33	LEE AURORA			1,672,706	0.03%
34	MERCURY GROUP OF COMPANIES INC.			1,635,722	0.03%
35	HONGKONG & SHANGHAI BANKING			1,561,529	0.03%
36	DE ELIZALDE	MARI CARMEN ROXAS		1,457,697	0.03%
37	EL-MAVIC INVESTMENT & DEVELOPMENT CORP.			1,419,045	0.02%
38	AUSTIN JR.	EULALIO	B.	1,360,937	0.02%
39	CHING TIONG KENG			1,346,156	0.02%
40	ESTATE OF LUDWIG JESSELSON			1,296,132	0.02%
41	V & O CO. INC.			1,270,551	0.02%
42	ABIGAN OR HERMAN OR JASON GO ABIGAN	ROSKO		1,250,003	0.02%
43	V & O CO. INC.			1,242,741	0.02%
44	M S WIEN & CO			1,237,739	0.02%
45	LEUNG HING LAU			1,228,080	0.02%
46	M E HOLDING CORPORATION			1,193,916	0.02%
47	CHING	CYNTHIA	D.	1,186,110	0.02%
48	MERRILL LYNCH PIERCE FENNER & SMITH	INC.		1,154,445	0.02%
49	AURORA LAND PROJECTS			1,146,421	0.02%
50	RICHARD J. LEHMAN &/OR KENNETH J. LEHMAN &/OR RICHARD W. LEHMAN			1,133,934	0.02%
51	CHEN CUA	MARK		1,119,200	0.02%
52	ROMAN CATHOLIC ARCHBISHOP OF ZAMBOANGA			1,116,147	0.02%
53	MODEST INVESTMENT CO LTD			1,111,317	0.02%
54	GONZALEZ / LOPEZ REALTY	ASUNCION LOPEZ		1,099,003	0.02%
55	LIM	PHILIP	S.	1,096,721	0.02%
56	CUA	BENITO	S.	1,040,328	0.02%
57	ESTATE OF MARIA BOIX TERRADELLAS			1,037,971	0.02%
58	HUANG	KATHERINE		1,036,906	0.02%
59	THE PHILIPPINE AMERICAN INVESTMENTS	CORPORATION		1,023,141	0.02%
60	LAO	ELENA	T.	1,011,156	0.02%
61	CHEN CUA	CELESTE		996,451	0.02%
62	CHEN CUA	CHARLOTTE		996,450	0.02%
63	CHEN CUA	GENEVIEVE		996,450	0.02%
64	CHEN CUA	MILLICENT		996,450	0.02%
65	TORTORICI	EDWARD	A.	990,100	0.02%
66	CHUANG LIPTON			982,423	0.02%
67	WEEKLEY	C. A.		969,702	0.02%
68	LEUNG SIU HONG			964,065	0.02%
69	ESTATE OF DY SUY GUAN			939,387	0.02%
70	C.S.P. DEVELOPMENT ENTERPRISES & CO. INC			923,487	0.02%
71	VELASCO	ALICE		877,744	0.02%
72	ESTATE OF ESTEBAN BOIX TERRADELLAS			868,385	0.02%
73	RICO FINANCE & CREDIT CORP			861,012	0.01%
74	LATTANZIO & JUNE LATTANZIO JT TEN	JOHNNY		851,048	0.01%
75	LATTANZIO & JUNE LATTANZIO TEN COM	JOHNNY		846,016	0.01%
76	BEAR STEARNS & CO.			839,507	0.01%

77	CALCAP			826,576	0.01%
78	ISLAND METAL MANUFACTURING CORP.			819,688	0.01%
79	ESTATE OF CHAN KIM FOR			813,117	0.01%
80	CHAN &/OR SUSANA CHAN	JUANITO		795,000	0.01%
81	JANNEY MONTGOMERY SCOTT LLC			791,397	0.01%
82	TAN	MIGUEL		784,087	0.01%
83	TUGBANG	RAMON	R.	768,177	0.01%
84	DENTRADE INC.			762,223	0.01%
85	LAO	PHOEBE		755,625	0.01%
86	LI FOOK SHIU			752,701	0.01%
87	LIM	JUAN	D.	751,100	0.01%
88	CONSALVO & JEANNETTE CONSALVO JTWROS	FRANK		743,081	0.01%
89	PREMIUM SECURIITES INC. A/CH#1078			737,500	0.01%
90	DOMINION DEV. & INVESTMENT INC.			729,070	0.01%
91	TEAH	KIM		724,078	0.01%
92	CEDE & COMPANY			723,950	0.01%
93	ERNESTO OPPEN INC.			701,727	0.01%
94	ESTATE OF SON KENG PO			698,281	0.01%
95	DEL ROSARIO &/OR MARGARET GRETCHEN V.D.R	ALBERT	F.	675,000	0.01%
96	ESTATE OF PATRICK CHU			668,635	0.01%
97	TANSENGCO & COMPANY INC.			668,301	0.01%
98	QUALITY INVESTMENTS & SECURITIES CORP.			667,938	0.01%
99	ESTATE OF ROSA BOIX TERRADELLAS			660,728	0.01%
100	CBNA MLA OBO AC 601180001			660,497	0.01%

TOTAL TOP 100 5,314,136,008  
TOTAL OUTSTANDING SHARES 5,782,399,068

Certified by:

**STOCK TRANSFER SERVICE, INC.**  
Transfer Agent

**RICHARD D. REGALA, JR.**  
General Manager

## OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - PX0000000000

Business Date: September 30, 2022

BPNAME	HOLDINGS
UPCC SECURITIES CORP.	837,005
A & A SECURITIES, INC.	24,831,359
ABACUS SECURITIES CORPORATION	53,056,250
PHILSTOCKS FINANCIAL INC	18,824,814
A. T. DE CASTRO SECURITIES CORP.	3,741,318
ALL ASIA SECURITIES MANAGEMENT CORP.	6,436
ALPHA SECURITIES CORP.	8,997,376
BA SECURITIES, INC.	1,210,938
AP SECURITIES INCORPORATED	8,261,018
ANSALDO, GODINEZ & CO., INC.	13,176,567
AB CAPITAL SECURITIES, INC.	52,556,644
SARANGANI SECURITIES, INC.	50,397
SB EQUITIES, INC.	22,919,456
ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	903,939
ASIASEC EQUITIES, INC.	14,587,415
ASTRA SECURITIES CORPORATION	1,899,035
CHINA BANK SECURITIES CORPORATION	3,918,295
BELSON SECURITIES, INC.	7,610,482
BENJAMIN CO CA & CO., INC.	28,623
B. H. CHUA SECURITIES CORPORATION	1,810,378
JAKA SECURITIES CORP.	686,322
BPI SECURITIES CORPORATION	45,791,597
CAMPOS, LANUZA & COMPANY, INC.	11,486,433
SINCERE SECURITIES CORPORATION	153,058
CENTURY SECURITIES CORPORATION	51,250
CTS GLOBAL EQUITY GROUP, INC.	6,089,115
TRITON SECURITIES CORP.	8,359,520
PHILEO ALLIED SECURITIES (PHILIPPINES), INC.	6,422
IGC SECURITIES INC.	8,205,411
CUALOPING SECURITIES CORPORATION	834,847
DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	8,325
DAVID GO SECURITIES CORP.	5,621,179
DIVERSIFIED SECURITIES, INC.	1,665,993
E. CHUA CHIACO SECURITIES, INC.	28,168,959
EQUITABLE SECURITIES (PHILS.) INC.	9,745
EAST WEST CAPITAL CORPORATION	519,639
EASTERN SECURITIES DEVELOPMENT CORPORATION	21,709,843
EQUITIWORLD SECURITIES, INC.	1,675,948
EVERGREEN STOCK BROKERAGE & SEC., INC.	11,264,812
FIRST ORIENT SECURITIES, INC.	1,203,122
FIRST INTEGRATED CAPITAL SECURITIES, INC.	2,568,705
F. YAP SECURITIES, INC.	3,487,493
AURORA SECURITIES, INC.	830,313
GLOBALINKS SECURITIES & STOCKS, INC.	7,221,253
JSG SECURITIES, INC.	417,928
GOLDSTAR SECURITIES, INC.	12,690,820
GUILD SECURITIES, INC.	601,007
HDI SECURITIES, INC.	6,409,990

**OUTSTANDING BALANCES FOR A SPECIFIC COMPANY**

Company Code - PX0000000000

Business Date: September 30, 2022

<b>BPNAME</b>	<b>HOLDINGS</b>
H. E. BENNETT SECURITIES, INC.	1,146,113
HK SECURITIES, INC.	3,016
I. ACKERMAN & CO., INC.	1,468,835
I. B. GIMENEZ SECURITIES, INC.	1,115,431
INVESTORS SECURITIES, INC,	5,051,102
IMPERIAL,DE GUZMAN,ABALOS & CO.,INC.	1,135,215
INTRA-INVEST SECURITIES, INC.	2,282,758
ASIAN CAPITAL EQUITIES, INC.	40,708
J.M. BARCELON & CO., INC.	376,721
VALUE QUEST SECURITIES CORPORATION	151,988
STRATEGIC EQUITIES CORP.	2,323,376
LARGO SECURITIES CO., INC.	5,612,584
LIPPO SECURITIES, INC.	946
LITONJUA SECURITIES, INC.	1,236,404
LOPEZ, LOCSIN, LEDESMA & CO., INC.	791,684
LUCKY SECURITIES, INC.	7,399,600
LUYS SECURITIES COMPANY, INC.	1,495,932
MANDARIN SECURITIES CORPORATION	9,557,880
MARINO OLONDRIZ Y CIA	2,495
COL Financial Group, Inc.	85,145,590
DA MARKET SECURITIES, INC.	20,628,568
MERCANTILE SECURITIES CORP.	3,571,042
MERIDIAN SECURITIES, INC.	10,311,039
MDR SECURITIES, INC.	2,829,282
REGIS PARTNERS, INC.	93,758
MOUNT PEAK SECURITIES, INC.	9,267
NEW WORLD SECURITIES CO., INC.	884,981
OPTIMUM SECURITIES CORPORATION	2,207,356
RCBC SECURITIES, INC.	6,788,864
PAN ASIA SECURITIES CORP.	2,501,443
PAPA SECURITIES CORPORATION	13,361,029
MAYBANK SECURITIES, INC.	20,636,623
PLATINUM SECURITIES, INC.	225,848
PNB SECURITIES, INC.	4,471,984
PREMIUM SECURITIES, INC.	3,748,942
PRYCE SECURITIES, INC.	345
SALISBURY SECURITIES CORPORATION	1,712,509
QUALITY INVESTMENTS & SECURITIES CORPORATION	22,704,287
R & L INVESTMENTS, INC.	30,176
ALAKOR SECURITIES CORPORATION	502,863
R. COYIUTO SECURITIES, INC.	5,900,248
REGINA CAPITAL DEVELOPMENT CORPORATION	8,294,141
R. NUBLA SECURITIES, INC.	38,307,835
AAA SOUTHEAST EQUITIES, INCORPORATED	936,209
R. S. LIM & CO., INC.	9,887,157
RTG & COMPANY, INC.	1,227,212
S.J. ROXAS & CO., INC.	1,829,414
SECURITIES SPECIALISTS, INC.	1,460,771
FIDELITY SECURITIES, INC.	306,499

## OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - PX0000000000

Business Date: September 30, 2022

BPNAME	HOLDINGS
SUMMIT SECURITIES, INC.	7,087,807
STANDARD SECURITIES CORPORATION	4,045,113
SUPREME STOCKBROKERS, INC	68,725
TANSENGCO & CO., INC.	3,952,639
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	8,026,162
TOWER SECURITIES, INC.	32,387,800
TRANS-ASIA SECURITIES, INC.	669,069
APEX PHILIPPINES EQUITIES CORPORATION	45,053
TRENDLINE SECURITIES CORPORATION	65,630
UCPB SECURITIES, INC.	6,980,208
UOB KAY HIAN SECURITIES (PHILS.), INC.	136,896
E.SECURITIES, INC.	129,677
VENTURE SECURITIES, INC.	603,507
FIRST METRO SECURITIES BROKERAGE CORP.	262,017,096
WEALTH SECURITIES, INC.	17,831,129
WESTLINK GLOBAL EQUITIES, INC.	1,150,086
BERNAD SECURITIES, INC.	9,953,661
WONG SECURITIES CORPORATION	1,420,368
YAO & ZIALCITA, INC.	13,574,802
YU & COMPANY, INC.	13,076,956
BDO SECURITIES CORPORATION	200,146,562
EAGLE EQUITIES, INC.	1,069,874
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	1,608,520
SOLAR SECURITIES, INC.	11,438,330
G.D. TAN & COMPANY, INC.	3,172,816
CLSA PHILIPPINES, INC.	312,909,751
DW CAPITAL INC.	32,340,900
PHILIPPINE EQUITY PARTNERS, INC.	20,337,461
UNICAPITAL SECURITIES INC.	16,445,424
SunSecurities, Inc.	1,408,722
COHERCO SECURITIES, INC.	38,252
ARMSTRONG SECURITIES, INC.	498,942
TIMSON SECURITIES, INC.	7,435,075
VC SECURITIES CORPORATION	1,754,072
CHINA BANKING CORPORATION - TRUST GROUP	520,125
CITIBANK N.A.	25,571,416
DEUTSCHE BANK MANILA-CLIENTS A/C	2,040,000
THE HONGKONG & SHANGHAI BANKING CORP. LTD. -OWN ACCOUNT	347,605
BANCO DE ORO - TRUST BANKING GROUP	34,600
RCBC TRUST & INVESTMENT DIVISION	6,044
DEUTSCHE BANK MANILA-CLIENTS A/C	3,792,428
STANDARD CHARTERED BANK	10,273,328
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	4,946,176
ASIA UNITED BANK - TRUST & INVESTMENT GROUP	249,000
MBTC - TRUST BANKING GROUP	9,111,408
SOCIAL SECURITY SYSTEM	174,362,583
AB CAPITAL & INVESTMENT CORP. - TRUST & INVESTMENT DIV.	9,500
THE HONGKONG & SHANGHAI BANKING CORP. LTD. -OWN ACCOUNT	940
EASTWEST BANKING CORPORATION - TRUST DIVISION	254,000

**OUTSTANDING BALANCES FOR A SPECIFIC COMPANY**

Company Code - PX0000000000

Business Date: September 30, 2022

BPNAME	HOLDINGS
PHILEX MINING CORPORATION	301

**Total** **1,964,021,403**

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.