

**PSE Disclosure Form 17-12-A - List of Top 100 Stockholders (Common Shares)**  
**Reference: Section 17.12 of the Revised Disclosure Rules**

**Type of Securities PHILEX MINING CORPORATION**

Common

**For the period ended** SEPTEMBER 30, 2021

**Description of the Disclosure**

PHILEX MINING CORPORATION TOP 100 STOCKHOLDERS

<b>Number of Issued and Outstanding Common Shares</b>	4,940,399,068
<b>Number of Treasury Common Shares, if any</b>	
<b>Number of Outstanding Common Shares</b>	4,940,399,068
<b>Number of Listed Common Shares</b>	4,940,399,068
<b>Number of Lodged Common Shares</b>	1,064,511,075
<b>PCD Nominee – Filipino</b>	915,163,339
<b>PCD Nominee – Non-Filipino</b>	149,347,736
<b>Number of Certificated Common Shares</b>	3,875,887,993

**Change from previous submission**



Stock Transfer Service Inc.

PHILEX MINING CORPORATION

List of Top 100 Stockholders

as of September 30, 2021

Count	Name	No. of Shares	Percentage
1	ASIA LINK B.V.	1,023,275,990	20.71%
2	SOCIAL SECURITY SYSTEM	992,679,729	20.09%
3	PCD NOMINEE CORPORATION FILIPINO	889,516,379	18.00%
4	TWO RIVERS PACIFIC HOLDINGS CORP.	738,871,510	14.96%
5	KIRTMAN LIMITED	242,011,062	4.90%
6	MAXELLA LIMITED	239,479,900	4.85%
7	PCD NOMINEE CORPORATION NON FILIPINO	149,347,736	3.02%
8	ARTINO LIMITED	37,822,400	0.77%
9	THE FIRST NATIONAL INVESTMENT COMPANY INC.	12,195,042	0.25%
10	MAKATI SUPERMARKET CORP.	8,353,226	0.17%
11	ESTATE OF EUDALDO BOIX	5,025,422	0.10%
12	PHILIPPINE REMNANTS CO. INC.	4,875,000	0.10%
13	PANGILINANMANUEL V.	4,655,000	0.09%
14	CHS CAPITAL HOLDINGS CORP	4,500,000	0.09%
15	PAOFRANK	3,639,260	0.07%
16	ESTATE OF EUDALDO BOIX & PETRA HERNANDO	3,093,203	0.06%
17	DE UGARTE &/OR ELENA E. DE UGARTEPAULINO	3,068,143	0.06%
18	BERCK Y. CHENG OR ALVIN Y. CHENG OR DIANA Y. CHENG OR CHERYL Y. CHENG	3,047,500	0.06%
19	REIFCAROL JOAN	2,974,086	0.06%
20	PETTYFERROBIN JOHN	2,644,747	0.05%
21	ESTATE OF JOSE TAN YAN DOO	2,569,251	0.05%
22	SYVICTOR G.	2,437,500	0.05%
23	YAN &/OR CLARA YANLUCIO W.	2,437,500	0.05%
24	SKYSIDE DEVELOPMENT CORP.	2,355,291	0.05%
25	ACK CONSTRUCTION INC.	2,339,484	0.05%
26	HANG SENG (NOMINEE) LTD	2,323,205	0.05%
27	PHILIPPINE REMNANTS CO. INC.	2,283,132	0.05%
28	CABATUANTONIO	2,205,484	0.04%
29	CAROUSEL HOLDINGS INC.	2,000,034	0.04%
30	SUNTAYREMEDIOS	1,923,995	0.04%
31	ALPHA SHELTER CORPORATION	1,893,066	0.04%
32	FRANCISCORODOLFO A.	1,719,495	0.03%
33	LEE AURORA	1,672,706	0.03%
34	MERCURY GROUP OF COMPANIES INC.	1,635,722	0.03%
35	HONGKONG & SHANGHAI BANKING	1,561,529	0.03%
36	DE ELIZALDEMARI CARMEN ROXAS	1,457,697	0.03%
37	EL-MAVIC INVESTMENT & DEVELOPMENT CORP.	1,419,045	0.03%
38	AUSTIN JR.EULALIO B.	1,360,937	0.03%
39	CHING TIONG KENG	1,346,156	0.03%
40	ESTATE OF LUDWIG JESSELSON	1,296,132	0.03%
41	V & O CO. INC.	1,270,551	0.03%
42	ABIGAN OR HERMAN OR JASON GO ABIGANROSKO	1,250,003	0.03%
43	V & O CO. INC.	1,242,741	0.03%
44	M S WIEN & CO	1,237,739	0.03%
45	LEUNG HING LAU	1,228,080	0.02%
46	M E HOLDING CORPORATION	1,193,916	0.02%
47	CHUAANASTACIA	1,188,945	0.02%
48	CHINGCYNTHIA D.	1,186,110	0.02%

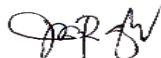
49	MERRILL LYNCH PIERCE FENNER & SMITH INC.	1,154,445	0.02%
50	AURORA LAND PROJECTS	1,146,421	0.02%
51	RICHARD J. LEHMAN &/OR KENNETH J. LEHMAN &/OR RICHARD W. LEHMAN	1,133,934	0.02%
52	CHEN CUAMARK	1,119,200	0.02%
53	ROMAN CATHOLIC ARCHBISHOP OF ZAMBOANGA	1,116,147	0.02%
54	MODEST INVESTMENT CO LTD	1,111,317	0.02%
55	GONZALEZ / LOPEZ REALTYASUNCION LOPEZ	1,099,003	0.02%
56	LIMPHILIP S.	1,096,721	0.02%
57	CUABENITO S.	1,040,328	0.02%
58	ESTATE OF MARIA BOIX TERRADELLAS	1,037,971	0.02%
59	HUANGKATHERINE	1,036,906	0.02%
60	THE PHILIPPINE AMERICAN INVESTMENTSCORPORATION	1,023,141	0.02%
61	LAOELENA T.	1,011,156	0.02%
62	CHEN CUACELESTE	996,451	0.02%
63	CHEN CUACHARLOTTE	996,450	0.02%
64	CHEN CUAGENEVIEVE	996,450	0.02%
65	CHEN CUAMILLICENT	996,450	0.02%
66	TORTORICIEDWARD A.	990,100	0.02%
67	CHUANG LIPTON	982,423	0.02%
68	WEEKLEYC. A.	969,702	0.02%
69	LEUNG SIU HONG	964,065	0.02%
70	LEJANO CONSTRUCTION INC.	943,312	0.02%
71	ESTATE OF DY SUY GUAN	939,387	0.02%
72	C.S.P. DEVELOPMENT ENTERPRISES & CO. INC	923,487	0.02%
73	VELASCOALICE	877,744	0.02%
74	ESTATE OF ESTEBAN BOIX TERRADELLAS	868,385	0.02%
75	RICO FINANCE & CREDIT CORP	861,012	0.02%
76	LATTANZIO & JUNE LATTANZIO JT TENJOHNNY	851,048	0.02%
77	LATTANZIO & JUNE LATTANZIO TEN COMJOHNNY	846,016	0.02%
78	BEAR STEARNS & CO.	839,507	0.02%
79	CALCAP	826,576	0.02%
80	ISLAND METAL MANUFACTURING CORP.	819,688	0.02%
81	ESTATE OF CHAN KIM FOR	813,117	0.02%
82	CHAN &/OR SUSANA CHANJUANITO	795,000	0.02%
83	JANNEY MONTGOMERY SCOTT LLC	791,397	0.02%
84	TANMIGUEL	784,087	0.02%
85	TUGBANGRAMON R.	768,177	0.02%
86	DENTRADE INC.	762,223	0.02%
87	LAOPHOEBE	755,625	0.02%
88	LI FOOK SHIU	752,701	0.02%
89	LIMJUAN D.	751,100	0.02%
90	CONSALVO & JEANNETTE CONSALVO JTWROSRANK	743,081	0.02%
91	PREMIUM SECURIITES INC. A/C#1078	737,500	0.01%
92	DOMINION DEV. & INVESTMENT INC.	729,070	0.01%
93	TEAHKIM	724,078	0.01%
94	CEDE & COMPANY	723,950	0.01%
95	ERNESTO OPPEN INC.	701,727	0.01%
96	ESTATE OF SON KENG PO	698,281	0.01%
97	DEL ROSARIO &/OR MARGARET GRETCHEN V.D.RALBERT F.	675,000	0.01%
98	ESTATE OF PATRICK CHU	668,635	0.01%
99	TANSENGCO & COMPANY INC.	668,301	0.01%
100	QUALITY INVESTMENTS & SECURITIES CORP.	667,938	0.01%

<b>TOTAL OUTSTANDING - TOP 100 STOCKHOLDERS</b>	<b>4,465,436,712</b>	<b>90.39%</b>
OTHER STOCKHOLDERS	474,962,356	9.61%
<b>TOTAL OUTSTANDING, ISSUED &amp; SUBCRIBED</b>	<b>4,940,399,068</b>	<b>100.00%</b>

Certified by:

**STOCK TRANSFER SERVICE, INC.**

Transfer Agent



**RICHARD D. REGALA, JR.**

General Manager

## OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - PX0000000000

Business Date: September 30, 2021

BPNAME	HOLDINGS
UPCC SECURITIES CORP.	855,152
A & A SECURITIES, INC.	22,842,364
ABACUS SECURITIES CORPORATION	50,416,457
PHILSTOCKS FINANCIAL INC	14,644,469
A. T. DE CASTRO SECURITIES CORP.	3,516,830
ALL ASIA SECURITIES MANAGEMENT CORP.	6,436
ALPHA SECURITIES CORP.	2,164,333
BA SECURITIES, INC.	1,179,376
AP SECURITIES INCORPORATED	4,451,492
ANSALDO, GODINEZ & CO., INC.	10,585,533
AB CAPITAL SECURITIES, INC.	12,883,826
SARANGANI SECURITIES, INC.	48,989
SB EQUITIES, INC.	20,534,248
ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	779,312
ASIASEC EQUITIES, INC.	3,549,033
ASTRA SECURITIES CORPORATION	1,916,102
CHINA BANK SECURITIES CORPORATION	2,461,014
BELSON SECURITIES, INC.	7,920,452
BENJAMIN CO CA & CO., INC.	247,484
B. H. CHUA SECURITIES CORPORATION	1,467,378
JAKA SECURITIES CORP.	800,374
BPI SECURITIES CORPORATION	33,639,506
CAMPOS, LANUZA & COMPANY, INC.	7,003,645
SINCERE SECURITIES CORPORATION	151,880
CENTURY SECURITIES CORPORATION	53,894
CTS GLOBAL EQUITY GROUP, INC.	4,941,611
TRITON SECURITIES CORP.	7,286,719
PHILEO ALLIED SECURITIES (PHILIPPINES), INC.	6,422
IGC SECURITIES INC.	6,389,504
CUALOPING SECURITIES CORPORATION	508,105
DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	8,325
DAVID GO SECURITIES CORP.	4,877,084
DIVERSIFIED SECURITIES, INC.	1,433,999
E. CHUA CHIACO SECURITIES, INC.	19,623,987
EQUITABLE SECURITIES (PHILS.) INC.	9,745
EAST WEST CAPITAL CORPORATION	497,843
EASTERN SECURITIES DEVELOPMENT CORPORATION	11,715,370
EQUITIWORLD SECURITIES, INC.	344,348
EVERGREEN STOCK BROKERAGE & SEC., INC.	9,580,880
FIRST ORIENT SECURITIES, INC.	1,042,673
FIRST INTEGRATED CAPITAL SECURITIES, INC.	2,308,705
F. YAP SECURITIES, INC.	1,593,889
AURORA SECURITIES, INC.	769,861
GLOBALINKS SECURITIES & STOCKS, INC.	5,570,346
JSG SECURITIES, INC.	287,498
GOLDSTAR SECURITIES, INC.	9,718,057
GUILD SECURITIES, INC.	451,974
HDI SECURITIES, INC.	8,607,187

BPNAME	HOLDINGS
H. E. BENNETT SECURITIES, INC.	1,086,264
HK SECURITIES, INC.	3,016
I. ACKERMAN & CO., INC.	1,470,135
I. B. GIMENEZ SECURITIES, INC.	897,343
INVESTORS SECURITIES, INC,	4,383,110
IMPERIAL,DE GUZMAN,ABALOS & CO.,INC.	1,025,331
INTRA-INVEST SECURITIES, INC.	1,648,876
ASIAN CAPITAL EQUITIES, INC.	40,708
J.M. BARCELON & CO., INC.	487,165
VALUE QUEST SECURITIES CORPORATION	10,892
STRATEGIC EQUITIES CORP.	2,279,891
LARRGO SECURITIES CO., INC.	5,034,721
LIPPO SECURITIES, INC.	946
LITONJUA SECURITIES, INC.	1,169,500
LOPEZ, LOCSIN, LEDESMA & CO., INC.	726,457
LUCKY SECURITIES, INC.	4,274,940
LUYS SECURITIES COMPANY, INC.	1,403,054
MANDARIN SECURITIES CORPORATION	10,168,136
MARINO OLONDRIZ Y CIA	2,495
COL Financial Group, Inc.	58,798,367
DA MARKET SECURITIES, INC.	18,080,315
MERCANTILE SECURITIES CORP.	3,222,726
MERIDIAN SECURITIES, INC.	5,044,810
MDR SECURITIES, INC.	1,103,664
REGIS PARTNERS, INC.	82,747
MOUNT PEAK SECURITIES, INC.	9,267
NEW WORLD SECURITIES CO., INC.	827,128
OPTIMUM SECURITIES CORPORATION	2,072,579
RCBC SECURITIES, INC.	6,351,707
PAN ASIA SECURITIES CORP.	1,391,385
PAPA SECURITIES CORPORATION	15,574,273
MAYBANK ATR KIM ENG SECURITIES, INC.	28,237,128
PLATINUM SECURITIES, INC.	184,776
PNB SECURITIES, INC.	3,713,094
PREMIUM SECURITIES, INC.	3,115,769
PRYCE SECURITIES, INC.	345
SALISBURY BKT SECURITIES CORPORATION	1,656,291
QUALITY INVESTMENTS & SECURITIES CORPORATION	21,217,854
R & L INVESTMENTS, INC.	30,176
ALAKOR SECURITIES CORPORATION	429,988
R. COYIUTO SECURITIES, INC.	4,342,164
REGINA CAPITAL DEVELOPMENT CORPORATION	6,499,371
R. NUBLA SECURITIES, INC.	33,064,755
AAA SOUTHEAST EQUITIES, INCORPORATED	355,659
R. S. LIM & CO., INC.	9,207,911
RTG & COMPANY, INC.	1,116,857
S.J. ROXAS & CO., INC.	2,028,523
SECURITIES SPECIALISTS, INC.	1,225,743
FIDELITY SECURITIES, INC.	376,386
SUMMIT SECURITIES, INC.	5,099,335
STANDARD SECURITIES CORPORATION	3,836,084
SUPREME STOCKBROKERS, INC	68,725
TANSENGCO & CO., INC.	3,700,104
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	3,792,299
TOWER SECURITIES, INC.	34,471,944

<b>BPNAME</b>	<b>HOLDINGS</b>
TRANS-ASIA SECURITIES, INC.	339,101
APEX PHILIPPINES EQUITIES CORPORATION	37,357
TRENDLINE SECURITIES CORPORATION	65,630
UCPB SECURITIES, INC.	6,654,116
UOB KAY HIAN SECURITIES (PHILS.), INC.	136,896
E.SECURITIES, INC.	129,677
VENTURE SECURITIES, INC.	758,153
FIRST METRO SECURITIES BROKERAGE CORP.	77,554,297
WEALTH SECURITIES, INC.	23,975,651
WESTLINK GLOBAL EQUITIES, INC.	774,829
BERNAD SECURITIES, INC.	4,296,399
WONG SECURITIES CORPORATION	1,548,224
YAO & ZIALCITA, INC.	12,194,946
YU & COMPANY, INC.	11,195,349
BDO SECURITIES CORPORATION	115,304,685
EAGLE EQUITIES, INC.	978,908
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	502,594
SOLAR SECURITIES, INC.	11,388,858
G.D. TAN & COMPANY, INC.	2,758,733
CLSA PHILIPPINES, INC.	1,250
DW CAPITAL INC.	32,340,900
PHILIPPINE EQUITY PARTNERS, INC.	15,455,550
UNICAPITAL SECURITIES INC.	7,934,246
SunSecurities, Inc.	1,391,594
COHERCO SECURITIES, INC.	38,252
ARMSTRONG SECURITIES, INC.	864,545
TIMSON SECURITIES, INC.	7,585,775
VC SECURITIES CORPORATION	423,200
CHINA BANKING CORPORATION - TRUST GROUP	246,125
CITIBANK N.A.	61,781,417
DEUTSCHE BANK MANILA-CLIENTS A/C	2,040,000
THE HONGKONG & SHANGHAI BANKING CORP. LTD. -OWN ACCOUNT	347,605
BANCO DE ORO - TRUST BANKING GROUP	34,600
RCBC TRUST & INVESTMENT DIVISION	6,044
DEUTSCHE BANK MANILA-CLIENTS A/C	3,410,984
STANDARD CHARTERED BANK	5,492,833
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	2,417,667
ASIA UNITED BANK - TRUST & INVESTMENT GROUP	298,006
MBTC - TRUST BANKING GROUP	8,011,438
SOCIAL SECURITY SYSTEM	25,646,960
AB CAPITAL & INVESTMENT CORP. - TRUST & INVESTMENT DIV.	9,500
THE HONGKONG & SHANGHAI BANKING CORP. LTD. -OWN ACCOUNT	940
PHILEX MINING CORPORATION	301
<b>Total</b>	<b>1,064,511,075</b>

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.