



Stock Transfer Service Inc.

PHILEX MINING CORPORATION

List of Top 100 Stockholders

As of September 30, 2019

Count	Name	No. of Shares	Percentage
1	ASIA LINK B.V.	1,023,275,990	20.71%
2	SOCIAL SECURITY SYSTEM	992,679,729	20.09%
3	PCD NOMINEE CORPORATION FILIPINO	884,598,122	17.91%
4	TWO RIVERS PACIFIC HOLDINGS CORP.	738,871,510	14.96%
5	KIRTMAN LIMITED	242,011,062	4.90%
6	MAXELLA LIMITED	239,479,900	4.85%
7	PCD NOMINEE CORPORATION NON FILIPINO	157,342,036	3.18%
8	ARTINO LIMITED	37,822,400	0.77%
9	THE FIRST NATIONAL INVESTMENT COMPANY INC.	12,195,042	0.25%
10	MAKATI SUPERMARKET CORP.	8,353,226	0.17%
11	ESTATE OF EUDALDO BOIX	5,025,422	0.10%
12	PHILIPPINE REMNANTS CO. INC.	4,875,000	0.10%
13	PANGILINAN MANUEL V.	4,655,000	0.09%
14	PAO FRANK	3,639,260	0.07%
15	ESTATE OF EUDALDO BOIX & PETRA HERNANDO	3,093,203	0.06%
16	DE UGARTE &/OR ELENA E. DE UGARTE PAULINO	3,068,143	0.06%
17	REIF CAROL JOAN	2,974,086	0.06%
18	PETTYFER ROBIN JOHN	2,644,747	0.05%
19	ESTATE OF JOSE TAN YAN DOO	2,569,251	0.05%
20	SY VICTOR G.	2,437,500	0.05%
21	YAN &/OR CLARA YAN LUCIO W.	2,437,500	0.05%
22	SKYSIDE DEVELOPMENT CORP.	2,355,291	0.05%
23	ACK CONSTRUCTION INC.	2,339,484	0.05%
24	HANG SENG (NOMINEE) LTD	2,323,205	0.05%
25	PHILIPPINE REMNANTS CO. INC.	2,283,132	0.05%
26	CABATU ANTONIO	2,205,484	0.04%
27	SUNTAY REMEDIOS	1,923,995	0.04%
28	ALPHA SHELTER CORPORATION	1,893,066	0.04%
29	FRANCISCO RODOLFO A.	1,719,495	0.03%
30	LEE AURORA	1,672,706	0.03%
31	MERCURY GROUP OF COMPANIES INC.	1,635,722	0.03%
32	LEHMAN RICHARD J.	1,609,594	0.03%
33	HONGKONG & SHANGHAI BANKING	1,561,529	0.03%
34	DE ELIZALDE MARI CARMEN ROXAS	1,457,697	0.03%
35	EL-MAVIC INVESTMENT & DEVELOPMENT CORP.	1,419,045	0.03%
36	AUSTIN JR. EULALIO B.	1,360,937	0.03%
37	CHING TIONG KENG	1,346,156	0.03%
38	ESTATE OF LUDWIG JESSELSON	1,296,132	0.03%
39	V & O CO. INC.	1,270,551	0.03%
40	ABIGAN OR HERMAN OR JASON GO ABIGAN ROSKO	1,250,003	0.03%
41	V & O CO. INC.	1,242,741	0.03%
42	M S WIEN & CO	1,237,739	0.03%
43	LEUNG HING LAU	1,228,080	0.02%
44	M E HOLDING CORPORATION	1,193,916	0.02%
45	CHUA ANASTACIA	1,188,945	0.02%
46	CHING CYNTHIA D.	1,186,110	0.02%
47	MERRILL LYNCH PIERCE FENNER & SMITH INC.	1,154,445	0.02%
48	AURORA LAND PROJECTS	1,146,421	0.02%

49 U. N. I. DEVELOPMENT CORP.	1,145,940	0.02%
50 CHEN CUA MARK	1,119,200	0.02%
51 ROMAN CATHOLIC ARCHBISHOP OF ZAMBOANGA	1,116,147	0.02%
52 MODEST INVESTMENT CO LTD	1,111,317	0.02%
53 GONZALEZ / LOPEZ REALTY ASUNCION LOPEZ	1,099,003	0.02%
54 LIM PHILIP S.	1,096,721	0.02%
55 CUA BENITO S.	1,040,328	0.02%
56 ESTATE OF MARIA BOIX TERRADELLAS	1,037,971	0.02%
57 HUANG KATHERINE	1,036,906	0.02%
58 THE PHILIPPINE AMERICAN INVESTMENTS CORPORATION	1,023,141	0.02%
59 LAO ELENA T.	1,011,156	0.02%
60 CHEN CUA CELESTE	996,451	0.02%
61 CHEN CUA GENEVIEVE	996,450	0.02%
62 CHEN CUA CHARLOTTE	996,450	0.02%
63 CHEN CUA MILLICENT	996,450	0.02%
64 TORTORICI EDWARD A.	990,100	0.02%
65 CHUANG LIPTON	982,423	0.02%
66 WEEKLEY C. A.	969,702	0.02%
67 LEUNG SIU HONG	964,065	0.02%
68 LEJANO CONSTRUCTION INC.	943,312	0.02%
69 ESTATE OF DY SUY GUAN	939,387	0.02%
70 C.S.P. DEVELOPMENT ENTERPRISES & CO. INC	923,487	0.02%
71 VELASCO ALICE	877,744	0.02%
72 ESTATE OF ESTEBAN BOIX TERRADELLAS	868,385	0.02%
73 RICO FINANCE & CREDIT CORP	861,012	0.02%
74 LATTANZIO & JUNE LATTANZIO JT TEN JOHNNY	851,048	0.02%
75 LATTANZIO & JUNE LATTANZIO TEN COM JOHNNY	846,016	0.02%
76 BEAR STEARNS & CO.	839,507	0.02%
77 CALCAP	826,576	0.02%
78 ISLAND METAL MANUFACTURING CORP.	819,688	0.02%
79 ESTATE OF CHAN KIM FOR	813,117	0.02%
80 CHAN &/OR SUSANA CHAN JUANITO	795,000	0.02%
81 JANNEY MONTGOMERY SCOTT LLC	791,397	0.02%
82 TAN MIGUEL	784,087	0.02%
83 TUGBANG RAMON R.	768,177	0.02%
84 DENTRADE INC.	762,223	0.02%
85 LAO PHOEBE	755,625	0.02%
86 LI FOOK SHIU	752,701	0.02%
87 LIM JUAN D.	751,100	0.02%
88 CONSALVO & JEANNETTE CONSALVO JTWROS FRANK	743,081	0.02%
89 PREMIUM SECURITIES INC. A/C#1078	737,500	0.01%
90 DOMINION DEV. & INVESTMENT INC.	729,070	0.01%
91 TEAH KIM	724,078	0.01%
92 CEDE & COMPANY	723,950	0.01%
93 ERNESTO OPPEN INC.	701,727	0.01%
94 ESTATE OF SON KENG PO	698,281	0.01%
95 DEL ROSARIO &/OR MARGARET GRETCHEN V.D.R ALBERT F.	675,000	0.01%
96 ESTATE OF PATRICK CHU	668,635	0.01%
97 TANSENGCO & COMPANY INC.	668,301	0.01%
98 QUALITY INVESTMENTS & SECURITIES CORP.	667,938	0.01%
99 ESTATE OF ROSA BOIX TERRADELLAS	660,728	0.01%
100 CBNA MLA OBO AC 601180001	660,497	0.01%

TOTAL OUTSTANDING - TOP 100 STOCKHOLDERS	4,461,908,046	90.31%
OTHER STOCKHOLDERS	478,491,022	9.69%
TOTAL OUTSTANDING, ISSUED & SUBCRIBED	4,940,399,068	100.00%

Certified by:

STOCK TRANSFER SERVICE, INC.

Transfer Agent



RICHARD D. REGALA, JR.

General Manager

OUTSTANDING BALANCES FOR A SPECIFIC COMPANY
Company Code - PX0000000000 - PHILEX MINING CORPORATION

Business Date: September 30, 2019

BP NAME	HOLDING
UPCC SECURITIES CORP.	909,902
A & A SECURITIES, INC.	18,840,723
ABACUS SECURITIES CORPORATION	48,943,420
PHILSTOCKS FINANCIAL INC	11,954,267
A. T. DE CASTRO SECURITIES CORP.	3,342,103
ALL ASIA SECURITIES MANAGEMENT CORP.	6,436
ALPHA SECURITIES CORP.	4,140,233
BA SECURITIES, INC.	1,144,420
AP SECURITIES INCORPORATED	8,707,552
ANSALDO, GODINEZ & CO., INC.	8,810,472
AB CAPITAL SECURITIES, INC.	11,949,018
SARANGANI SECURITIES, INC.	40,864
SB EQUITIES, INC.	17,243,268
ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	789,312
ASIASEC EQUITIES, INC.	2,962,398
ASTRA SECURITIES CORPORATION	4,149,310
CHINA BANK SECURITIES CORPORATION	2,878,860
BELSON SECURITIES, INC.	7,717,806
BENJAMIN CO CA & CO., INC.	522,784
B. H. CHUA SECURITIES CORPORATION	1,087,137
JAKA SECURITIES CORP.	796,359
BPI SECURITIES CORPORATION	30,498,943
CAMPOS, LANUZA & COMPANY, INC.	7,903,948
SINCERE SECURITIES CORPORATION	247,930
CENTURY SECURITIES CORPORATION	53,894
BDO NOMURA SECURITIES INC	6,456,287
CTS GLOBAL EQUITY GROUP, INC.	5,086,684
TRITON SECURITIES CORP.	8,848,119
PHILEO ALLIED SECURITIES (PHILIPPINES), INC.	6,422
IGC SECURITIES INC.	6,092,884
CUALOPING SECURITIES CORPORATION	488,124
DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	76,625
DAVID GO SECURITIES CORP.	5,651,636
DIVERSIFIED SECURITIES, INC.	1,227,527
E. CHUA CHIACO SECURITIES, INC.	18,893,149
EQUITABLE SECURITIES (PHILS.) INC.	9,745
EAST WEST CAPITAL CORPORATION	547,213
EASTERN SECURITIES DEVELOPMENT CORPORATION	12,713,310
EQUITIWORLD SECURITIES, INC.	758,348
EVERGREEN STOCK BROKERAGE & SEC., INC.	6,735,290
FIRST ORIENT SECURITIES, INC.	1,192,314

FIRST INTEGRATED CAPITAL SECURITIES, INC.	2,398,705
F. YAP SECURITIES, INC.	1,632,434
AURORA SECURITIES, INC.	821,261
GLOBALINKS SECURITIES & STOCKS, INC.	5,938,592
JSG SECURITIES, INC.	1,820,162
GOLDSTAR SECURITIES, INC.	10,639,456
GUILD SECURITIES, INC.	436,290
HDI SECURITIES, INC.	5,957,201
H. E. BENNETT SECURITIES, INC.	2,741,834
HK SECURITIES, INC.	3,016
I. ACKERMAN & CO., INC.	1,477,428
I. B. GIMENEZ SECURITIES, INC.	874,343
INVESTORS SECURITIES, INC,	4,538,896
IMPERIAL,DE GUZMAN,ABALOS & CO.,INC.	1,026,507
INTRA-INVEST SECURITIES, INC.	1,976,698
ASIAN CAPITAL EQUITIES, INC.	40,708
J.M. BARCELON & CO., INC.	813,165
VALUE QUEST SECURITIES CORPORATION	8,850
STRATEGIC EQUITIES CORP.	1,411,891
LARRGO SECURITIES CO., INC.	5,003,899
LIPPO SECURITIES, INC.	946
LITONJUA SECURITIES, INC.	1,176,985
LOPEZ, LOCSIN, LEDESMA & CO., INC.	558,959
LUCKY SECURITIES, INC.	9,307,108
LUYS SECURITIES COMPANY, INC.	1,883,036
MANDARIN SECURITIES CORPORATION	9,339,667
MARINO OLONDRIZ Y CIA	2,495
COL Financial Group, Inc.	49,077,956
DA MARKET SECURITIES, INC.	17,710,415
MERCANTILE SECURITIES CORP.	5,088,726
MERIDIAN SECURITIES, INC.	5,773,195
MDR SECURITIES, INC.	1,176,964
DEUTSCHE REGIS PARTNERS, INC.	168,436
MOUNT PEAK SECURITIES, INC.	29,267
NEW WORLD SECURITIES CO., INC.	207,828
NIEVES SECURITIES, INC.	58,551
OPTIMUM SECURITIES CORPORATION	2,128,774
RCBC SECURITIES, INC.	6,485,948
PAN ASIA SECURITIES CORP.	1,246,385
PAPA SECURITIES CORPORATION	9,473,263
MAYBANK ATR KIM ENG SECURITIES, INC.	12,465,720
PLATINUM SECURITIES, INC.	220,776
PNB SECURITIES, INC.	2,008,827
PREMIUM SECURITIES, INC.	3,309,090
PRYCE SECURITIES, INC.	345
SALISBURY BKT SECURITIES CORPORATION	1,586,481
QUALITY INVESTMENTS & SECURITIES CORPORATION	17,680,943

R & L INVESTMENTS, INC.	101,032
ALAKOR SECURITIES CORPORATION	762,795
R. COYIUTO SECURITIES, INC.	4,535,123
REGINA CAPITAL DEVELOPMENT CORPORATION	6,641,459
R. NUBLA SECURITIES, INC.	31,734,106
AAA SOUTHEAST EQUITIES, INCORPORATED	386,059
R. S. LIM & CO., INC.	8,705,406
RTG & COMPANY, INC.	1,118,992
S.J. ROXAS & CO., INC.	1,264,038
SECURITIES SPECIALISTS, INC.	1,173,743
FIDELITY SECURITIES, INC.	171,386
SUMMIT SECURITIES, INC.	6,763,828
STANDARD SECURITIES CORPORATION	8,307,297
SUPREME STOCKBROKERS, INC	68,725
TANSENGCO & CO., INC.	3,293,456
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	6,042,794
TOWER SECURITIES, INC.	30,987,930
TRANS-ASIA SECURITIES, INC.	52,101
APEX PHILIPPINES EQUITIES CORPORATION	39,357
TRENDLINE SECURITIES CORPORATION	65,630
TRI-STATE SECURITIES, INC.	831,570
UCPB SECURITIES, INC.	5,318,966
UOB KAY HIAN SECURITIES (PHILS.), INC.	145,797
E.SECURITIES, INC.	129,677
VENTURE SECURITIES, INC.	891,153
FIRST METRO SECURITIES BROKERAGE CORP.	71,242,556
WEALTH SECURITIES, INC.	7,410,286
WESTLINK GLOBAL EQUITIES, INC.	498,854
BERNAD SECURITIES, INC.	4,566,399
WONG SECURITIES CORPORATION	2,230,224
YAO & ZIALCITA, INC.	11,607,778
YU & COMPANY, INC.	11,028,487
BDO SECURITIES CORPORATION	100,667,810
EAGLE EQUITIES, INC.	1,184,093
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	361,672
SOLAR SECURITIES, INC.	19,896,753
G.D. TAN & COMPANY, INC.	2,930,406
CLSA PHILIPPINES, INC.	1,357
DW CAPITAL INC.	32,340,900
PHILIPPINE EQUITY PARTNERS, INC.	12,652,291
UNICAPITAL SECURITIES INC.	3,939,753
SunSecurities, Inc.	3,301,532
COHERCO SECURITIES, INC.	38,252
ARMSTRONG SECURITIES, INC.	849,545
KING'S POWER SECURITIES, INC.	951,959
TIMSON SECURITIES, INC.	7,549,475
STAR ALLIANCE SECURITIES CORP.	476,000

BANCO DE ORO - TRUST BANKING GROUP	47,559
CHINA BANKING CORPORATION - TRUST GROUP	90,125
CITIBANK N.A.	113,418,357
DEUTSCHE BANK MANILA-CLIENTS A/C	10,045,213
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	4,666,313
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	6,051,000
THE HONGKONG & SHANGHAI BANKING CORP. LTD. -OWN ACCOUNT	347,605
THE HONGKONG & SHANGHAI BANKING CORP. LTD. -OWN ACCOUNT	940
AB CAPITAL & INVESTMENT CORP. - TRUST & INVESTMENT DIV.	19,638
ASIA UNITED BANK - TRUST & INVESTMENT GROUP	317,006
UNITED COCONUT PLANTERS LIFE ASSURANCE CORPORATION	1,000,273
PNB TRUST BANKING GROUP	117,367
PHILEX MINING CORPORATION	301
RCBC TRUST & INVESTMENT DIVISION	6,044
STANDARD CHARTERED BANK	3,704,235
MBTC - TRUST BANKING GROUP	8,645,922
SOCIAL SECURITY SYSTEM	25,646,960
VC SECURITIES CORPORATION	50,000
TOTAL	1,067,587,118